

FY 2021 Evaluation/ FY 2022 Planning Meeting

EVALUATION PLANNING MEETING- RENO, NEVADA

IHS OFFICE OF FINANCE AND ACCOUNTING – DIVISION OF BUDGET
FORMULATION



Budget Update: FY 2019 Enacted

\$5.8 billion total discretionary budget authority

Services: \$4.1 billion

- \$25 million for tribal clinic operational costs;
- \$10 million for opioid prevention, treatment, and recovery services (Special Behavioral Health Pilot Program);
- \$8 million for Indian Health Professions and expansion of the Indians into Medicine Program;
- \$2 million for “new Tribes;” and
- \$2 million for urban Indian health.

Facilities: \$879 million

- \$115 million for staffing and operating costs of newly-constructed healthcare facilities

Contract Support Costs: \$822 million (remains an indefinite discretionary appropriation for fully funding CSC)

Key Highlights:

- Retains base funding levels and programs from FY 2018
- Services technical adjustment: \$800 thousand for backfilling vacant dental positions in HQ transfers from the Direct Operations budget line to Dental Services
- Majority of Services funds previously available for one FY are now available for two FYs, expiring on 9/30/2020
- SDPI was previously reauthorized as a mandatory account through FY 2019 with a funding level of \$150 million.

Budget Update: FY 2020 President's Budget

\$5.9 billion total discretionary budget authority

Current Services (pay costs, inflation & pop growth): \$69 million

New Staffing & Operating costs for four newly constructed healthcare facilities: \$98 million

Services: \$4.3 billion

- \$2 million for quality and oversight
- \$8 million for recruitment and retention
- \$12 million for Tribes that received federal recognition (six in Virginia)
- \$20 million for expansion of the Community Health Aide Program (CHAP)
- \$25 million for an initial investment in modernizing the Electronic Health Record system
- \$25 million for establishing the Eliminating Hepatitis C and HIV/AIDS in Indian Country Initiative

Facilities: \$803 million

- \$166 million for health care facilities construction
- \$193 million for sanitation facilities construction
- \$444 million for maintenance and improvement, medical equipment, and the Facilities and Environmental Health Support program

Contract Support Costs: \$855 million (remains an indefinite discretionary appropriation for fully funding CSC)

Budget Update: FY 2020 President's Budget (Cont.)

FY 2020 President's Budget Key Highlights:

- Proposed Program Discontinuations: Health Education and Tribal Management Grants Program
- Mandatory Funds: Special Diabetes Program for Indians (\$150 million per year)
 - Proposed reauthorization for FY 2020 and FY 2021
- Provide Federal Tort Claim Act coverage for IHS volunteers
- Authorize IHS to establish concurrent Federal/State jurisdiction at IHS Federal enclave properties
- Authorize discretionary use of all Title 38 authorities
- Meet Loan Repayment/Scholarship service obligations on a half-time basis
- Provide tax exemption for IHS Health Professions Scholarship and Loan Repayment Programs

Budget Update: FY 2020 House Mark

\$6.3 billion total discretionary budget authority

Current Services: \$96 million

New Staffing & Operating costs for newly constructed healthcare facilities: \$98 million

Services: \$4.6 billion (*highlights*)

- \$53 million for tribal clinic operational costs (increase of \$17 million)
- \$91 million for recruitment and retention of health professionals (increase of \$32 million)
- \$12 million for Tribes that received federal recognition (six in Virginia)
- \$20 million for expansion of the Community Health Aide Program (CHAP)
- \$25 million for an initial investment in modernizing the Electronic Health Record system
- \$25 million for establishing the Eliminating Hepatitis C and HIV/AIDS in Indian Country Initiative
- \$81 million for Urban Indian programs (increase of \$27 million)

Facilities: \$964 million (*highlights*)

- \$304 million for health care facilities construction (increase of \$60 million for the small ambulatory program, staff quarters, and green infrastructure)
- \$193 million for sanitation facilities construction
- \$466 million for maintenance and improvement, medical equipment, and the Facilities and Environmental Health Support program

Contract Support Costs: \$820 million (remains an indefinite discretionary appropriation for fully funding CSC)

FY 2021 Evaluation: National Tribal Budget Work Session Survey Feedback

Positive feedback:

- Formalization of the motions during the meeting
- Preparing recommendations at 3 percentage levels helped the decision making process at the meeting.
- Use of a facilitator helped keep meeting on track.

Room for Improvement:

- More time allotted for Area report presentations
- More time allotted for budget update discussion
- Tribal Leaders should be given preference during discussions
- Meeting to be 2 full days

FY 2022 Planning

- Incorporate lessons learned from the FY 2021 process and identify other improvements to enhance formulation activities for FY 2022.
- Identify a target funding level for the National Tribal budget recommendation.
- Review and finalize Area budget instructions.

FY 2022 Area Budget Instructions

Overview

- Instructions are sent to IHS Area budget teams who distribute the area instructions at the Area budget consultation sessions.
- The instructions are completed by IHS/Tribal/Urban organizations together at the Area budget consultation/confer sessions that will take place October - December 2019.
- IHS Area budget teams are responsible for sending in completed instructions to IHS HQ by the designated due dates.

Timeline

October – November 2019	Area Budget Formulation Sessions
★ October 4, 2019	Submit: IHS Federal staff send in Area Consultation meeting dates/location. Submit to IHS Headquarters (HQ) - OFA: Christina.francisco@ihs.gov
★ December 13, 2019	Submit: Deliverable #1a, #1b, #1c- National Budget Worksheets Use the Excel worksheets provided. Submit to IHS HQ – OFA: Christina.francisco@ihs.gov In Excel and PDF
★ January 10, 2020	Submit: Deliverable #2 - Budget Narratives Deliverable #3 - Hot Issues Deliverable #4 - Area Report Slides Deliverable #5 - Area Tribal Representatives Use the 'templates' provided. Submit to IHS HQ – OFA: Christina.francisco@ihs.gov In Excel and PDF
January 13, 2020	HQ OFA to send <u>final rollup</u> of National Tribal budget recommendations to Area representatives, Area budget team members, and Area Executive Officers.
February 13-14, 2020	IHS FY 2022 National Tribal Budget Formulation Work Session, (near Crystal City, VA, DC area)
March TBD, 2020	HHS Budget Consultation meeting, Washington, DC
June TBD, 2020	IHS FY 2022/FY 2023 Evaluation & Planning Meeting, Location TBD

Deliverable 1: National Budget Worksheet

- Identify and enter program increases by budget line into the Excel worksheet template.
- Worksheet is pre filled with formulas and columns/headers have links that explain what budget lines represent.
- Entering negative numbers in program increases will skew the results.
- Each Area submits their recommendation and HQ rolls it into one set of recommendations that is sent back out to the group before the National Tribal Budget Work Session in February.

Deliverable 2: Area Budget Narrative

Each Area will submit a budget narrative that describes and supports the agreed upon budget priorities for their Area outlined in the Budget worksheet (Deliverable 1). Key points to include in the narrative justification are:

- How the recommended budget increases should be allocated, i.e., why each increase is important and how it will affect certain programs or initiatives.
 - Include any effects of previous years' increases
 - Include any data that highlights effects of previous years' increases
- The linkage to IHClA provisions, where applicable.
- Linkage to GPRA performance targets and outcomes.

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Deliverable 3: Area Hot Issues

- **Issue**

Provide a brief description of the issue.

- **Background**

Include historical information or data that explains the hot issue in more detail.

- **Recommendation**

Link to budget recommendations or provide action that needs to be taken to address issue.

*** Hot Issue submissions are included in the National Tribal Budget Formulation Recommendations booklet and presentation to HHS.*

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Deliverable 4: Area Report Presentations

Presentation that summarizes your Area's budget priorities and hot issues to present at the National Tribal Budget Work Session.

- Template below is a guide:
 - Slide 1: Title Slide
 - Slide 2: Summary of service area and demographic information
 - Slide 3: Summary of Funding priorities
 - Slide 4: Summary of Area's hot topics

- Presentations should be concise to allow time for all Areas to present.

Deliverable 5: Area Tribal Representatives

Each Area sends in the name and contact information for two tribal representatives and technical team members that will attend the National Tribal Budget Work session.

- Below are the **Area Tribal representatives roles & responsibilities**:
 - **Must be an elected/appointed Tribal official or representative of an elected/appointed tribal official with a letter stating their appointment by the tribe.**
 - Meet with the Area Office prior to the National meeting to review the national roll-up and discuss how their Area recommendations fit within the consolidated document.
 - Attend the National meeting prepared to discuss and/or justify the funding recommendations and be knowledgeable about health challenges in their Area.
 - Attend the National meeting with the authority to negotiate specific budget lines on behalf of the Tribes in their Area so that the workgroup can achieve an inclusive national recommendation in a timely manner.
 - One representative needs to attend the evaluation/planning meeting.
- **Technical team members** must attend the National meeting and prepare Area representatives by explaining materials, checking for accuracy, and providing information on the budget formulation process.

Questions & Comments
